

VIA TELETYPE

DISPATCH

CLASSIFICATION
~~SECRET~~ SECRET

PROCESSING

TO	CHIEF OF BASE, FRANKFURT	PROPOSED	MARKED FOR INDEXING	ACCOMPLISHED
INFO.	CHIEF OF STATION, GERMANY CHIEF, MLB	XX	NO INDEXING REQUIRED	
FROM	CHIEF, EE		ONLY QUALIFIED HEADQUARTERS DESK CAN JUDGE INDEXING	
SUBJECT	UPHILL UJDROWSY - SUGGESTED PROVOCATION FELFE, HEINZ			
			ABSTRACT	
			MICROFILM	

ACTION REQUIRED - REFERENCES

1. THE PROBLEM OF UTILIZING OUR LIMITED RESOURCES EFFECTIVELY IN THE INVESTIGATION AND SURVEILLANCE OF UJDROWSY IS A DIFFICULT ONE. IDEALLY, WE SHOULD CONTRIVE A PROVOCATION WHICH FORCES UJDROWSY, IF HE IS GUILTY, TO UTILIZE HIS EMERGENCY COMMUNICATIONS TO THE SOVIETS DURING A PERIOD OF OUR CHOSING SO THAT MAXIMUM SURVEILLANCE MAY BE APPLIED, AND WHICH RESULTS IN A SOVIET REACTION ALSO WITHIN OUR SPHERE OF OBSERVATION. IN THE HOPE OF MAKING A CONTRIBUTION TO THE SOLUTION OF THIS PROBLEM, WE OFFER A PLAN FOR YOUR CONSIDERATION. THE ENTIRE PLAN HERE OUTLINED WOULD BE FULLY COORDINATED WITH ALIAS BERNHARDT AND ALIAS STURM, WHO WOULD BE THE MAIN UPHILL ACTOR. THE KEY ROLE WOULD, HOWEVER, BE PLAYED BY FOB DURING THE COURSE OF SURVEILLANCE.

2. THE PROPOSAL, INSOFAR AS WE HAVE THOUGHT IT OUT, INVOLVES SEVERAL PHASED ACTIONS.

A. THE FIRST PHASE WOULD BE CONDUCTED BY [] AND ALIAS STURM. A CAREFULLY WRITTEN YELLOW MEMO IN THE SERIES FROM MLB TO UPHILL WOULD ANNOUNCE THAT KUBARK IS WILLING TO MAKE [] AVAILABLE IN GERMANY TO UPHILL FOR BRIEF OPERATIONAL INTERROGATION, INDICATING THE OPPORTUNITY TO BE PARTLY THE RESULT OF A REQUEST BY UTILITY DURING HIS RECENT TRIP, FACILITATED BY THE FACT THAT [] IS TO BE IN EUROPE ON AN OPERATIONAL TASK. THE MEMO MIGHT POINT OUT THAT GENERAL INTERROGATIONS ON THE PIS AND RIS WILL BE FORTHCOMING AND SHARED WITH UPHILL, SO THE LIMITED TIME TO BE AVAILABLE SHOULD PROBABLY BEST BE DEVOTED TO SPECIAL OPERATIONAL PROBLEMS ARISING FROM THE PAST OR PRESENT UPHILL CE CASES WHICH HAVE FLAPPED. THE MEMO SHOULD OFFER UPHILL ACCESS WITHIN ABOUT ONE WEEK FROM THE DATE OF THE MEMO, IN ORDER TO ALLOW TIME FOR PASSAGE OF A MESSAGE BY ONE OF THE SUSPECTS TO HOSTILE INTELLIGENCE, YET LIMIT THE PERIOD OF NECESSARY INTENSIVE SURVEILLANCE. SEPARATELY, [] WOULD OSTENSIBLY CONVEY THE NECESSARY SUPPLEMENTARY INFORMATION WHICH ONE WOULD NOT EXPECT TO BE PUT IN WRITING SUCH AS [] IS GOING TO PITCH A POLISH DIPLOMAT, BUT WE HAVE PROMISED [] A VACATION /OR FOR SOME OTHER REASON/ AND HAVE

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ARRANGED ACCOMMODATIONS IN AN APPROPRIATE SPA PENDING THE DATE OF THE PITCH WHICH IS NOT ENTIRELY FIRM, THEREFORE UPHILL WILL PROBABLY HAVE ACCESS DURING THE SECOND WEEK OF ☐ STAY. IT WOULD PROBABLY BE USEFUL TO INCLUDE THE THOUGHT THAT ☐ IS VERY KNOWLEDGEABLE CONCERNING BOTH POLISH AND SOME SOVIET OPERATIONS IN GERMANY, BUT HE HAS NOT BEEN PREVIOUSLY INTERROGATED ON UPHILL MATTERS, SINCE OTHER THINGS HAVE BEEN MORE PRESSING AND KUBARK WAS IN NO POSITION TO COVER THE SUBJECT.

B. ALIAS STURM'S TASK WOULD THEN BE TO CONVEY IN A CONVINCING MANNER TO HIS SOVIET AND POLISH CE EXPERTS, UJDROWSY AND ALIAS GREGOR, THE ESSENTIAL ELEMENTS THEY WOULD BE EXPECTED TO PASS IMMEDIATELY IF THEY ARE GUILTY. HE WOULD SHOW THEM THE MEMO, EMPHASIZE THE SENSITIVITY OF THE OPERATION OWING TO THE OBVIOUS NEED TO PROTECT THE FACT OF ☐ PRESENCE, AND SWEAR THEM TO SECRECY EVEN WITH RESPECT TO THEIR OWN COLLEAGUES. IN THIS CONNECTION, IT MIGHT ALSO BE POSSIBLE TO CONCOCT A UNIQUE NOTIONAL TIDBIT CONCERNING ☐ ACTIVITIES SINCE HIS DEFECTION FOR ALIAS STURM TO DROP TO SEE IF SUCH AN ITEM MAY REAPPEAR. IN CONVEYING TO THE SUSPECT PAIR THE SUPPLEMENTARY VERBAL INFORMATION SUCH AS THE SITE OF THE INTERROGATION AND DATE OF ☐ ARRIVAL, ALIAS STURM MIGHT ARRANGE TO PASS THIS INFORMATION TO THEM SEPARATELY IN SLIGHTLY DIFFERENT FORM WHICH MIGHT LATER BE DETECTABLE AS COMING FROM ONE OR THE OTHER OF THEM. THEN ALIAS STURM WOULD ASSIGN THEM ON PRIORITY BASIS THE PREPARATION OF AN INTERROGATION, TO BE CLEARED WITH ALIAS STURM IN WRITTEN FORM BEFORE USE IN ORDER TO INSURE NOTHING IS INCLUDED THAT THE AMERICANS SHOULDN'T KNOW. ON THE OTHER HAND ALIAS STURM WOULD LOGICALLY INSIST THAT THE INTERROGATIONS SHOULD BE REALLY SEARCHING, IN VIEW OF THE UNIQUE OPPORTUNITY AFFORDED BY THE EXTENT OF ☐ PROBABLE KNOWLEDGE.

C. SHOULD EITHER GREGOR OR UJDROWSY BE GUILTY, THEIR REACTIONS ALONE, AND THEIR FORMULATION OF QUESTIONS WOULD BE OF INTEREST. BOTH WE AND UPHILL ARE WELL ENOUGH INFORMED TO DETECT SIGNIFICANT OMISSIONS OR DISTORTIONS. THERE IS OF COURSE THE POSSIBILITY ONE OF THEM MIGHT PANIC, IF HE FEELS HIS OWN GUILTY ROLE ALMOST CERTAIN TO EMERGE.

D. MORE LIKELY, A PENETRATION OF UPHILL AT THIS POINT WOULD FEEL OBLIGED TO USE HIS EMERGENCY COMMUNICATIONS, ACHIEVING ONE OF OUR MAIN OBJECTIVES, A PERIOD DURING WHICH CONCENTRATED SURVEILLANCE MAY TURN UP SOMETHING USEFUL.

E. AROUND THE TIME INDICATED FOR ☐ CHECK-IN AT A VACATION SITE, CAREFULLY SELECTED BECAUSE OF FAVORABLE SURVEILLANCE POSSIBILITIES, SURVEILLANCE WOULD BE MOUNTED IN AN EFFORT TO DETECT HOSTILE COVERAGE OF THE AREA. THERE ARE MANY POSSIBLE SOLUTIONS TO THE HANDLING OF THIS EXERCISE, AND THERE IS LITTLE USE IN OUR SUGGESTING DETAILS. ONE WOULD, OF COURSE, HAVE TO TAKE INTO ACCOUNT REAL PHYSICAL DANGER, AND THE POSSIBILITY THE OPPOSITION WOULD USE PERSONS ACTUALLY KNOWING ☐ TO CASE THE AREA. THE SURVEILLANCE SHOULD BE THOROUGHLY DISCUSSED WITH ALIAS BERNHARDT, WHO MIGHT BE ABLE TO PROVIDE ASSISTANCE. CONSIDERATION SHOULD BE GIVEN, TOO, TO THE POSSIBILITY OF HAVING SOMEONE MASQUERADE AS ☐ TAKING INTO ACCOUNT THE PHYSICAL DANGER TO WHICH HE MAY BE EXPOSED.

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<p>F. SHORTLY BEFORE THE UPHILL INTERROGATION OF [] IS OSTENSIBLY SCHEDULED TO BEGIN, ALIAS STURM COULD RECEIVE, ACCORDING TO PLAN, WORD FROM KUBARK THAT [] HAD TO BE REMOVED UNEXPECTEDLY. THIS WOULD CALL OFF EVERYTHING, AND IT WOULD DEVELOP THAT [] FOR SECURITY REASONS HAD TO BE RETURNED TO PBPRIME AFTER THE PITCH.</p> <p>G. IF NOTHING HAD HAPPENED TO THIS POINT, UPHILL COULD THEN BE INVITED TO FORWARD THE PREPARED INTERROGATIONS FOR PLACEMENT BY KUBARK INTERROGATOR. AT THAT POINT WE, AND UPHILL, WOULD BE IN FULL LOGICAL DISCOURSE WITH THE MAJOR SUSPECTS ON THEIR PAST CE CASES IN THE CONTEXT OF THE SECURITY PROBLEMS NOW AT HAND. ON THE BASIS OF REAL OR OSTENSIBLE [] INFORMATION WE COULD PUT SEARCHING QUESTIONS TO THEM, OR PROVOKE THEM FURTHER WITH INCRIMINATING INFORMATION POINTING SO NEARLY AT THEMSELVES THAT A GUILTY PARTY MUST BELIEVE HIMSELF DISCOVERED. ALTERNATIVELY, UPHILL COULD DECIDE THAT THE INVESTIGATION HAD UNCOVERED SUCH SERIOUS SECURITY PROBLEMS THAT THE EXPERT TALENTS OF UJDROWSY WERE REQUIRED FULL TIME IN FURTHER INVESTIGATION, THEREBY PROVIDING A PRETEXT FOR EASING HIM OUT OF ACTIVE PROJECTS.</p> <p>2. IN SPELLING THE ABOVE IDEA OUT IN SOME DETAIL WE MERELY MEAN TO INDICATE THE SCOPE OF WHAT WE ARE SUGGESTING, AND HAVE NO INTENTION OF PROPOSING A SPECIFIC SCENARIO. WE REALIZE THAT ONLY FOB AND MLB ARE IN A POSITION TO WORK OUT THE DETAILS. THE OBJECTIVE, TO RECAPITULATE, IS TWOFOLD- A. TO FORCE COMMUNICATION DURING A SPECIFIC PERIOD AND DETECT IT BY INTENSIVE SURVEILLANCE, AND B. TO CONTRIVE MEANS OF OBTAINING EVIDENCE IN THE FORM OF A HOSTILE REACTION TO MAKE A MOVE WE HAVE INSTIGATED. CLEARLY, IF NOTHING HAPPENS, NOTHING IS PROVEN. THERE IS OBVIOUSLY A REAL CHANCE VERGING ON PROBABILITY THAT THE SOVIETS WOULD NOT RISK COMPROMISING A PENETRATION AT THE LEVEL OF UJDROWSY BY INVESTIGATING THE OSTENSIBLE VACATION SPOT OF [] ON THE OTHER HAND, THE UB AND KGB ARE ALMOST CERTAINLY SERIOUSLY INTERESTED IN PINNING DOWN THE LOCATION AND PRESENT ACTIVITY OF [] AND MIGHT INCUR CONSIDERABLE RISK TO DETERMINE THE FEASIBILITY OF ASSASSINATION, KIDNAPPING, ETC. WHETHER OR NOT THERE IS A REACTION, THE PROBABILITY OF FORCING A COMMUNICATION ATTEMPT ON THE PART OF AN ACTIVE AGENT SEEMS HIGH, IF ALIAS STURM PLAYS HIS ROLE PROPERLY. ASIDE FROM THE TWO MAIN OBJECTIVES, OTHER GAINS VALUABLE TO FURTHER INVESTIGATION WOULD PROBABLY RESULT. WE HAVE SUGGESTED INCLUSION OF BOTH ALIAS GREGOR AND UJDROWSY BECAUSE BOTH ARE SUSPECT, AND BECAUSE THE PLAN LOGICALLY INCLUDES THEM BOTH. HOSTILE REACTION, DETECTED BY US, WOULD TEND TO LIMIT THE PRACTICAL TO TWO, WHICH IS MUCH BETTER THAN OUR PRESENT SITUATION AND MIGHT SUPPORT ARREST AND INTENSIVE INTERROGATION OF BOTH UNTIL ONE BROKE.</p> <p>3. FROM THE POINT OF VIEW OF [] OWN SAFETY THERE IS OF COURSE NO OBJECTION TO THE ABOVE PLAN. IN FACT, SINCE THE UB SEEMS DEFINITELY INTERESTED IN TAKING COUNTER-OR NEUTRALIZING ACTION, A DIVERSION MIGHT USEFULLY SERVE TO OCCUPY THEM FOR A TIME IN THE WRONG DIRECTION. IF NEITHER ALIAS GREGOR NOR UJDROWSY IS GUILTY, THE SUGGESTED PLAN CAN DO NO HARM, WILL DEMONSTRATE INITIATIVE AND A GENUINE DESIRE TO ASSIST UPHILL ON OUR PART, AND MIGHT RESULT IN BROADER KUBARK KNOWLEDGE OF THE REASONS FOR UPHILL WEAKNESS IN THE CE FIELD. IT SEEMS TO US IMPORTANT THAT KUBARK PROPOSE AND ASSIST IN THE EXECUTION OF SOME SUCH PLAN PRIOR TO UPHILL'S IMPLIED DEADLINE OF 1 SEPTEMBER, AFTER WHICH TIME THEY MAY FEEL OBLIGED TO RELIEVE UJDROWSY WHETHER OR NOT EVIDENCE AGAINST HIM HAS BEEN OBTAINED.</p>		
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